### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

	•		or <u>Fax</u> (5	571)-273-2885			
INSTRUCTIONS: This appropriate. All further indicated unless correct maintenance fee notifica	correspondence including ed below or directed of	for transmitting the ISSU ng the Patent, advance of herwise in Block 1, by (	rders and postification of	espondence address;	and/or (b) indicating a sep	should be completed where it correspondence address as parate "FEE ADDRESS" for	
Ronald I Eisen David S Resnick	7590 09/29 stein	lock 1 for any change of address)	DEC 2 2 2006	ee(s) Transmittal. Thispers. Each additional to the its own certificate Certhereby certify that the lates Postal Service was a comment of the	is certificate cannot be used il paper, such as an assignme of mailing or transmission.  tificate of Mailing or Tran  is Fee(s) Transmittal is beir  with sufficient nostage for fi	for domestic mailings of the for any other accompanying tent or formal drawing, must asmission and deposited with the United rst class mail in an envelope s above, or being facsimile date indicated below.	
NIXON PEABC 100 Summer Str	eet			ansmitted to the USP Stephanie Ma		date indicated below. (Depositor's name)	
Boston, MA 021		D0151045		telhani	Marine	) (Signature)	
.2/26/2006 GWORDOF2	00000041 500850	09656915	F	December 20		(Date)	
	0.00 DA			December 20			
2 Faithfation no. 3	V. VV DH FILING DATE		FIRST NAMED INVENTO	)R	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/656,915	09/07/2000		Larry I. Benowitz CMZ-129			2385	
TITLE OF INVENTION NEURONS	N: METHODS AND C	OMPOSITIONS FOR M	ODULATING AXONA	L OUTGROWTH (	OF CENTRAL NERVOUS	SYSTEM	
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			<del></del>	<del></del>	<del> 1</del>		
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUI	PREV. PAID ISSUI	E FEE TOTAL FEE(S) DUI	E DATE DUE	
nonprovisional	YES	\$700	\$0	\$0	\$700	12/29/2006	
EXAM	EXAMINER ART UNIT		CLASS-SUBCLASS				
GAMETT,	DANIEL C	1647	435-007100				
1. Change of corresponde	ence address or indicatio	n of "Fee Address" (37	2. For printing on the	patent front page, lis	st . Nivon	Peabody, LLP	
CFR 1.363).  Change of correspondence address (or Change of Correspondence			(1) the names of up or agents OR, alterna	to 3 registered paten tively,	t attorneys 1 NIXOII	reabody, mir	
Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON T	THE PATENT (print or t	ype)			
PLEASE NOTE: Unl recordation as set fort	ess an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the T a substitute for filing a	patent. If an assignent assignment.	ee is identified below, the	document has been filed for	
(A) NAME OF ASSIG	•		(B) RESIDENCE: (CIT	J	OUNTRY)		
Children's	s Medical Cent	ter Corporatio	n Boston	, MA			
Please check the appropri	iate assignee category or	categories (will not be pr	inted on the patent):	Individual 🗷 Co	orporation or other private gr	oup entity Government	
4a. The following fee(s) a  Issue Fee  Publication Fee (N  Advance Order - #	o small entity discount p		b. Payment of Fec(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0850 (enclose an extra copy of this form).				
	tus (from status indicated		D				
	s SMALL ENTITY statu		= =	-	LL ENTITY status. See 37 C		
nterest as shown by the r	d Publication Fee (if requee cords of the United Sta	aired) will not be accepted tes Patent and Trademark	I from anyone other than Office.	the applicant; a regis	stered attorney or agent; or t	he assignee or other party in	
Authorized Signature	Cam	Ola	<u>58,1</u> 5		mber 20, 2006		
Typed or printed name David S. Resnick/Candace Summerford Registration No. 34,235 / 58,109							

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Larry I. Benowitz

**Confirmation No.:** 

2385

**Application No.:** 

09/656,915

**Group No.: 1632** 

Filed: For:

September 7, 2000

Examiner: Gamett, Daniel C.

METHODS AND COMPOSITIONS FOR MODULATING

AXONAL OUTGROWTH OF CENTRAL NERVOUS SYSTEM

**Customer No.:** 

50828

### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that the attached correspondence comprising:

- 1. Certificate of Mailing (1 pg.);
- 2. PTOL-85 Notice of Allowance and Fees Due in duplicate (6 pp.);
- 3. Fee Transmittal in duplicate (2 pp.);
- 4. Return Receipt Postcard

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

MAIL STOP ISSUE FEE **Commissioner for Patents** P.O. Box 1450 **Alexandria, VA 22313-1450** 

on December 20, 2006.

Stephanie M. Mannino



# FEE TRANSMITTAL FOR FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 730.00

Complete if Known						
Application Number	09/656,915					
Filing Date September 7, 2000						
First Named Inventor Larry I. Benowitz						
Examiner Name	Gamett, Daniel C.					
Art Unit	1647					
Attorney Docket No.	701039-052260					

METHOD OF PAYMENT (check all that apply)	T				FEE CALCULATION (continued)		
☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None Order		3. ADDITIONAL FEES					
Deposit Account:	Large	Entity	Small	Entity			
Deposit Account 50-0850	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
Number	1051	130	2051	65	Surcharge – late filing fee or oath		
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Deposit Account Nixon Peabody LLP	1053	130	1053	130	Non-English specification		
Name Nixon Peabody LLP	1812	2,520	1812	2.520	For filing a request for ex parte reexamination		
	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner		
The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments	1805	1.840*	1805	1.840*	Requesting publication of SIR after Examiner action		
Charge any additional fee(s)  Charge fee(s) indicated below, except for the filing fee	1251	120	2251	60	Extension for reply within first month		
to the above-identified deposit account.	1252	450	2252	225	Extension for reply within second month		
	1253	1,020	2253	510	Extension for reply within third month		
FEE CALCULATION	1254	1,590	2254	795	Extension for reply within fourth month		
1. BASIC FILING FEE	1255	2,160	2255	1,080	Extension for reply within fifth month		
Large Entity Small Entity	1401	500	2401	250	Notice of Appeal		
Fee Fee Fee Fee Fee Description Code (5) Code (5) Fee Paid	1402	500	2402	250	Filing a brief in support of an appeal		
	1403	1,000	2403	500	Request for oral hearing		
1001 300 2001 150 Utility filing fee	1451	1.510	1451	1,510	Petition to institute a public use proceeding  Petition to revive – unavoidable		
1002 200 2002 100 Design filing fee	1452	500	2452	250	Petition to revive – unintentional		
1003 200 2003 100 Plant filing fee	1453	1,500	2453	750			
1004 300 2004 150 Reissue filing fee	1501	1,400	2501	700	Utility issue fee (or reissue) 700.00		
1005 200 2005 100 Provisional filing fee	1502	800	2502	400	Design issue fee		
SUBTOTAL (1) (S) 0	1503 1460	1.100	2503 1460	550 130	Plant issue fee Petitions to the Commissioner		
SUBTOTAL (1) (S) 0	1807			50			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1806	50 180	1807 1806	50 180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt		
Fee from	8021	40	8021	40	Recording each patent assignment per property		
Total Claims below Fee Paid  Total Claims	1809	790	2809	395	(times number of properties) Filing a submission after final rejection		
Independent -3** = X = 0	1810	790	2810	395	(37 CFR 1.129(a)) For each additional invention to be examined		
Claims Multiple Dependent X = 0	1801	790	2801	395	(37 CFR 1.129(b)) Request for Continued Examination (RCE)		
Large Entity Small Entity Fee Fee Fee Fee <u>Fee Description</u>	1802	900	1802	900	Request for expedited examination of a design application		
Code (S) Code (S)	Other fo	ee (specify	y) Advar	ice Copies I	Fee – 10 Copies (30.00)		
1202 50 2202 25 Claims in excess of 20							
1201 200 2201 100 Independent claims in excess of 3	*Radus	ed by Des	io Filino I	Fee Poid	SURTOTAL (3) (\$\) 730.00		
1203 360 2203 180 Multiple dependent claim, if not paid	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 730.00						
1204 200 2204 100 ** Reissue independent claims over			Cen	TIFICATE	OF MAILING OR TRANSMISSION 137 CFR 1 8(2)		
original patent	CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  Thereby certify that this correspondence is being:						
1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent			deposited	with the Ui	nited States Postal Service on the date shown below with sufficient postage		
SUBTOTAL (2) (S) 0		as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450					
**or number previously paid, if greater: For Reissues, see above		transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-2885.  December 20, 2006 Date Signature					
	<u>Dece</u>						
		5.			Stephanie M. Mannino		
					Typed or printed name		
SUBMITTED BY Complete (if applicable)							
SWINGLIEU DI					Compacte (ij appricaine)		

United States Patent and Trademark Office

09/29/2006

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

# NOTICE OF ALLOWANCE AND FEE(S) DUE

Ronald I Eisenstein David S Resnick NIXON PEABODY LLP 100 Summer Street

Boston, MA 02110-2131

09/656,915

OCT 0 2 2005 NIXON PEABODY L

**EXAMINER** GAMETT, DANIEL C ART UNIT PAPER NUMBER 1647

2385

DATE MAILED: 09/29/2006

CMZ-129

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO Larry I. Benowitz

TITLE OF INVENTION: METHODS AND COMPOSITIONS FOR MODULATING AXONAL OUTGROWTH OF CENTRAL NERVOUS SYSTEM

**NEURONS** 

PUBLICATION FEE DUE PREV. PAID ISSUE FEE APPLN. TYPE SMALL ENTITY **ISSUE FEE DUE** TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$700 \$700 12/29/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. PROSECUTION ON THE MERITS IS CLOSED. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAÍD ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

09/07/2000

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

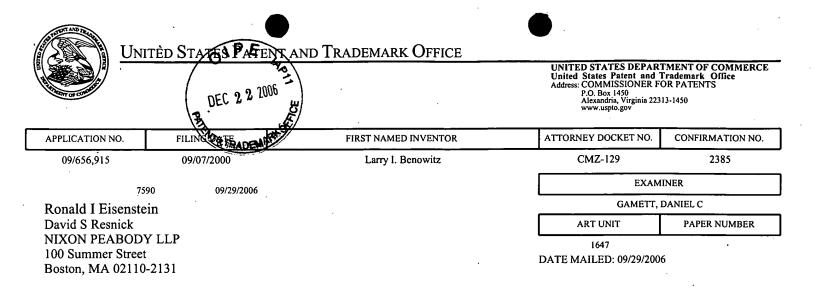
A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 25 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 25 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.